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PTO/SB/21 (09-04)

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| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | Application Number | 10/773,883 | |
| | Filing Date | February 5, 2004 | |
| | First Named Inventor | Gerbi | |
| | Art Unit | 3731 | |
| | Examiner Name | Adams | |
| Total Number of Pages in This Submission | 5+ | Attorney Docket Number | SATTY-69257 (13.0) |

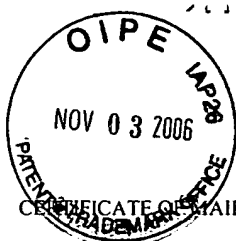
| ENCLOSURES (Check all that apply) | | |
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| <input checked="" type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> After Allowance Communication to TC |
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| <input checked="" type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> CD, Number of CD(s) _____ | Check |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> Landscape Table on CD | Postcard |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application | Remarks | |
| <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | | |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | | | |
|--|----------------------------|----------|--------|
| Firm Name | Fulwider Patton LLP | | |
| Signature | <i>Douglas R. Peterson</i> | | |
| Printed name | Douglas R. Peterson | | |
| Date | 10/3/06 | Reg. No. | 53,458 |

| CERTIFICATE OF TRANSMISSION/MAILING | | | |
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| Typed or printed name | Douglas R. Peterson | Date | 10/3/06 |

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Douglas R. Peterson
Douglas R. Peterson, Reg. No. 53,458

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/773,883
Applicant : Craig Gerbi, Gary Weller, Jamy Gannoe, Douglas S. Sutton,
Andrew H. Hancock, Gilbert Mata, Jr.
Filed : February 5, 2004
Art Unit : 3731
Examiner : Amanda S. Adams
Title : SINGLE-FOLD SYSTEM FOR TISSUE
APPROXIMATION AND FIXATION

Docket No.: : SATTY 69257 (13.0)
Customer No. : 24201

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

The documents listed on the enclosed Form PTO/SB/08a have come to the attention of Applicant and are submitted to the Office under 37 C.F.R. § 1.97.

Applicant asks the Examiner to consider these references in examining the application. A copy of each of the foreign patents and articles is enclosed.

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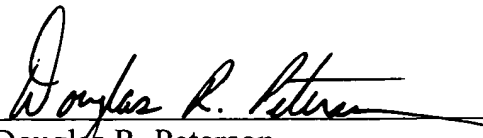
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The petition fee set forth in 37 C.F.R. § 1.17(p) is enclosed. Please charge any deficiency in fees or credit any overpayment to our Deposit Account No. 06-2425. A duplicate of this paper is enclosed.

Respectfully submitted,

FULWIDER PATTON LLP

By: 
Douglas R. Peterson
Registration No. 53,458

Howard Hughes Center
6060 Center Drive, Tenth Floor
Los Angeles, CA 90045
Telephone: (310) 824-5555
Facsimile: (310) 824-9696
Customer No. 24201
145193.1

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PTO/SB/17 (01-06)

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Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

**FEE TRANSMITTAL
for FY 2006**☒ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT (\$)** **\$180.00****Complete if Known**

| | |
|----------------------|--------------------|
| Application Number | 10/773,883 |
| Filing Date | February 5, 2004 |
| First Named Inventor | Gerbi |
| Examiner Name | Adams |
| Art Unit | 3731 |
| Attorney Docket No. | SATTY-69257 (13.0) |

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____

☐ Deposit Deposit Account Number: _____ Deposit Account Name: _____

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

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FEE CALCULATION (All the fees below are due upon filing or may be subject to a surcharge.)**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

| Application Type | FILING FEES | | SEARCH FEES | | EXAMINATION FEES | | Fees Paid(\$) |
|------------------|-------------|-----------------------|-------------|-----------------------|------------------|-----------------------|---------------|
| | Fee (\$) | Small Entity Fee (\$) | Fee (\$) | Small Entity Fee (\$) | Fee (\$) | Small Entity Fee (\$) | |
| Utility | 300 | 150 | 500 | 250 | 200 | 100 | |
| Design | 200 | 100 | 100 | 50 | 130 | 65 | |
| Plant | 200 | 100 | 300 | 150 | 160 | 80 | |
| Reissue | 300 | 150 | 500 | 250 | 600 | 300 | |
| Provisional | 200 | 100 | 0 | 0 | 0 | 0 | |

2. EXCESS CLAIM FEES

| Fee Description | Fee (\$) | Small Entity Fee (\$) |
|--|----------|-----------------------|
| Each claim over 20 (including Reissues) | 50 | 25 |
| Each independent claim over 3 (including Reissues) | 200 | 100 |
| Multiple dependent claims | 360 | 180 |

| Total Claims | Extra Claims | Fee (\$) | Fee Paid (\$) |
|----------------------------------|--------------|----------|---------------|
| _____ - 20 or HP = _____ x _____ | | \$25.00 | \$0.00 |

HP = highest number of total claims paid for, if greater than 20.

| Indep. Claims | Extra Claims | Fee (\$) | Fee Paid (\$) |
|---------------------------------|--------------|----------|---------------|
| _____ - 3 or HP = _____ x _____ | | \$100.00 | \$0.00 |

HP = highest number of independent claims paid for, if greater than 3.

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listing under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof | Fee (\$) | Fee Paid (\$) |
|--------------------------------|--------------|--|----------|---------------|
| _____ - 100 = _____ / 50 _____ | | 0 (round up to a whole) | \$125.00 | \$0.00 |

4. OTHER FEE(S)

Non-English specification, \$130 fee (no small entity discount)

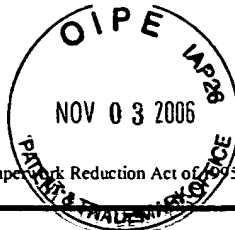
Other (e.g., late filing surcharge): Information Disclosure Statement Filing Fee

\$180.00**SUBMITTED BY**

| | | | | | |
|-------------------|----------------------------|--------------------------------------|--------|-----------|--------------|
| Signature | <i>Douglas R. Peterson</i> | Registration No. (Attorney/Agent) | 53,458 | Telephone | 310-824-5555 |
| Name (Print/Type) | Douglas R. Peterson | | | Date | 10/31/06 |

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | | | Application Number | 10/773,883 | | | |
| (use as many sheets as necessary) | | | | Filing Date | February 5, 2004 | | | |
| Sheet | 1 | of | 3 | First Named Inventor | Craig Gerbi | | | |
| | | | | Art Unit | 3731 | | | |
| | | | | Examiner Name | Amanda S. Adams | | | |
| | | | | Attorney Docket Number | SATTY-69257 | | | |
| U.S. PATENT DOCUMENTS | | | | | | | | |
| Examiner Initials* | Cite No ¹ | Document Number | | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | | |
| | | Number | Kind Code ² (if known) | | | | | |
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| Examiner Initials* | Cite No ¹ | Foreign Patent Document | | | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear | T ⁶ |
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| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | | | | Application Number | 10/773,883 | | | |
| | | | | Filing Date | February 5, 2004 | | | |
| | | | | First Named Inventor | Craig Gerbi | | | |
| | | | | Art Unit | 3731 | | | |
| | | | | Examiner Name | Amanda S. Adams | | | |
| Sheet | 2 | of | 3 | Attorney Docket Number | SATTY-69257 | | | |
| U.S. PATENT DOCUMENTS | | | | | | | | |
| Examiner Initials* | Cite No ¹ | Document Number | | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | | |
| | | Number | Kind Code ² (if known) | | | | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | | |
| Examiner Initials* | Cite No ¹ | Foreign Patent Document | | | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear | T ⁶ |
| | | Office ³ | Number ⁴ | Kind ⁵ (if known) | | | | |
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| Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) Sheet 3 of 3 | | Complete if Known | |
| | | Application Number | 10/773,883 |
| | | Filing Date | February 5, 2004 |
| | | First Named Inventor | Craig Gerbi |
| | | Group Art Unit | 3731 |
| | | Examiner Name | Amanda S. Adams |
| | | Attorney Docket Number | SATTY-69257 |
| OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS | | | |
| Examiner Initials* | Cite No ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
| | | Gukovsky-Reicher, S., M.D. et al., <i>Expandable Metal Esophageal Stents: Efficacy and Safety. Review of Current Literature Data and of 53 Stents Placed at Harbor-UCLA Medical Center</i> , www.medscape.com/viewarticle/423508_print pages 1-20, Medscape General Medicine 4(1), 2003 ©2002 Medscape, downloaded October 9, 2006 | |
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